

- Neptune, LLC – DueDate 08/16/2018 – Docs required as per attached 2nd email
- NES, LLC – DueDate 08/16/2018 – Docs & confirmation required as per attached 9th email.
- LSJE, LLC – DueDate 08/18/2018 – Awaiting docs as per attached 8th email attached.
- Hyperion Air, LLC – DueDate 08/18/2018 – Docs & confirmation required as per attached 10th email
- JEJE, Inc – DueDate 08/18/2018 – Awaiting confirmation & docs as per attached 7th email
- JEJE, LLC – DueDate 08/18/2018 – Awaiting confirmation & docs as per attached 7th email
- Plan D, LLC – DueDate 08/18/2018 – Docs & confirmation required as per attached 9th email.
- Jeepers, Inc - DueDate 08/18/2018 – Awaiting confirmation & docs as per attached 7th email
- Mort, Inc - DueDate 08/18/2018 – Awaiting docs as per attached 6th email attached.
- Zorro Management, LLC - DueDate 08/18/2018 – Awaiting docs as per attached 5th email attached.

Happy to discuss items over a call whenever required, thanks.

Kind Regards,



Vijay Sawant

KYC Case Representative
Deutsche CIB Centre Private Limited - Deutsche Bank Group
Wealth Management

From: Bradley Gillin
Sent: Thursday, August 23, 2018 2:19 AM
To: Vijay-A Sawant <vijay-a.sawant@db.com>
Cc: Richard Iarossi <richard.iarossi@db.com>
Subject: KYC Remediation update [I]

Classification: **For internal use only**

Hi Vijay,
Please send an updated summary list when you can. I would like to review with the team before my MTA. Thanks.

Regards,
Brad



Bradley Gillin
Vice President
Deutsche Bank Wealth Management