
From: [REDACTED]
Sent: 8/22/2018 10:17:29 AM
To: [REDACTED]; [REDACTED]; [REDACTED]
CC: [REDACTED]; [REDACTED]; [REDACTED]
Subject: RE: 2018 Periodic Review of High Risked Accounts Southern Trust Company, Inc ([REDACTED]), Epstein, Jeffrey E. (GCIS: [REDACTED]) and Zorro Management, LLC ([REDACTED]) under the SOUTHERN FINANCIAL RELATIONSHIP (I)
Attachments: FW_ Southern Financial_Epstein KYC Remediation (I) (5.24 MB).msg
Importance: High

Hello [REDACTED],

As per your attached no material confirmation for the above accounts and revised CDD Forms provided (in separate emails), we have launched KYC Case: [REDACTED] for these accounts.

We are in the middle of completing all the searches and reviewing adverse media, etc. We will advise if anything required.

In the meantime, after review of all accounts, we confirm that we require the following item for this case –

- SoS or COGS (dtd within last 6 months) from US Virgin Islands registry for Zorro Management LLC

Kindly forward the same, so we can push this case to the next stages at the earliest, thanks.

Kind Regards,



[REDACTED]
KYC Case Representative
Deutsche CIB Centre Private Limited – Deutsche Bank Group
Wealth Management
B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway
Goregaon East, Mumbai - 400063, India
Tel. [REDACTED]
Mobile + [REDACTED]

From: [REDACTED]
Sent: Monday, July 30, 2018 2:39 PM
To: [REDACTED] <[REDACTED]>; [REDACTED] <[REDACTED]>
Cc: [REDACTED] <[REDACTED]>
Subject: 2018 Periodic Review of High Risked Accounts Southern Trust Company, Inc ([REDACTED]), Epstein, Jeffrey E. (GCIS: [REDACTED]) and Zorro Management, LLC ([REDACTED]) under the SOUTHERN FINANCIAL RELATIONSHIP
Importance: High

Hello [REDACTED],

This review relates to above high risked three accounts due for remediation 08/31/2018.

Upon review of the docs available in the repositories, I have completed the attached checklist, main RP's also listed below for reference –