

Cc: Kareen Johnson <[REDACTED]>; Alastair Mackinlay <[REDACTED]>  
Subject: RE: SOUTHERN FINANCIAL LLC - ONB-1010155 [I]

Classification: **For internal use only**

Amber and Kareen,

I have a few questions.

The client has a Brokerage Acct in WM and wants to use those instructions for SSI, are we able to do that? Also, kindly clarify what you mean by Tax Document. Do you have an example or explanation?

DBSI Account: Southern Financial LLC  
DBSI Account #: [REDACTED]

Pershing LLC  
DTC: 443  
Euroclear: 98730

Incoming USD instructions:

Bank: Bank of New York  
ABA: 021 0000 18

Beneficiary: Pershing LLC  
Beneficiary #: [REDACTED]

FFC (ref):  
DBSI Account: Southern Financial LLC  
DBSI Account #: [REDACTED]

Thank you

Nina Tona

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**From:** Amber Davis  
**Sent:** Friday, December 15, 2017 2:20 PM  
**To:** Nina Tona <[REDACTED]>  
**Cc:** Kareen Johnson <[REDACTED]>  
**Subject:** SOUTHERN FINANCIAL LLC - ONB-1010155 [I]

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Good afternoon,

Could you please provide a tax document , the system was flagging that one was needed for this fund.

Thank you kindly,