

**From:** Davide-A Sferrazza [REDACTED]  
**Sent:** 2/28/2019 10:50:17 AM  
**To:** Nina Tona [REDACTED]; Ian Salters [REDACTED]  
**CC:** Bill King [REDACTED]  
**Subject:** RE: KYC remediation [1]

Classification: **For internal use only**

WM Area	WM Client Name	GM Client Name	WM Contact/ RIM Updated	Has the client been through the CIB KYC onboarding process? YES/NO	PRIORITY YES / NO	Customer Number
IWP	Daniel and Suzanne Cohen	Daniel and Suzanne Cohen	Joseph Cothron/Dan Gra	NO	YES	[REDACTED]
IWP	Belford Investments	Belford Investments	Mauricio Pretelt	NO	YES	[REDACTED]
IWP	Euro-Partners Fund	Euro-Partners Fund	Chris Maxmin	NO	YES	[REDACTED]
IWP	GUY WYSER PRATTE	GUY WYSER PRATTE	Chris Maxmin	NO	YES	[REDACTED]
IWP	SOUTHERN FINANCIAL LLC	SOUTHERN FINANCIAL LLC	Stewart Oldfield	NO	YES	[REDACTED]
IWP	The Lamia Ventures Corporation	The Lamia Ventures Corporation	Mauricio Pretelt	NO	YES	[REDACTED]
IWP	Vanguardia Inversiones SAS	Vanguardia Inversiones SAS	Dcarla Oliviera/Eduardo	NO	YES	[REDACTED]

- Cohen – Josh
- Belford – I do not see anything setup in dbCAR (would be Billy)
- Euro-Partners Fund – Josh
- Guy Wyser-Pratte – Josh
- Southern Financial – In process of being offboarded (would be Martin)
- Lamia – Billy/Martin
- Vanguardia – Billy/Martin

**From:** Nina Tona  
**Sent:** Wednesday, February 27, 2019 10:28 AM  
**To:** Ian Salters [REDACTED]  
**Cc:** Bill King [REDACTED]; Davide-A Sferrazza [REDACTED]  
**Subject:** RE: KYC remediation [1]

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Ian – I have added the below to our SharePoint tracker, to also include Bill's.

@Davide- please advise who in IWP will be responsible for ours.

@Bill- please cc me on your email with the onboarding team so I can update the status on our tracker.

Thank you



Nina Tona  
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 Institutional Wealth Partners – Business Manager Americas

Deutsche Bank (Americas) Ltd.