

From: PWMUS [REDACTED]  
To: Amanda [REDACTED]  
Cc: PWMUS [REDACTED], Jacqueline [REDACTED]  
Date: 10/09/2013 03:49 PM  
Subject: Re: Fw: [REDACTED] rdc alert [1]

Classification: For internal use only

Hi Amanda,

AML Compliance reviewed the attached PCR alerts dated 10/9/2013 relating to [REDACTED] [REDACTED]. The alert pertains to the [REDACTED] of a [REDACTED], [REDACTED] [REDACTED]. According to the business, our client is in her early 30's and lives in NYC; whereas, the alert pertains to a [REDACTED] [REDACTED] that is in her 60's and lives in Wisconsin. Accordingly, AML Compliance CLEARS this alert as a false positive.

Kind regards,  
Andrew Kisz



**Andrew Kisz**  
Associate | AML Compliance Officer

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Asset & Wealth Management  
5022 Gate Parkway, Suite 400, 32256 Jacksonville, USA  
Tel: [REDACTED]  
Email: [REDACTED]

*Passion to Perform*

From: Amanda [REDACTED]  
To: PWMUS [REDACTED]  
Cc: Andrew [REDACTED], Jacqueline [REDACTED], Paul [REDACTED]  
Date: 10/08/2013 12:42 PM  
Subject: Fw: [REDACTED] rdc alert [1]

Classification: For internal use only

Hi,

This PCR alert does not pertain to our client. This [REDACTED] [REDACTED] is in her 60's and lives in Wisconsin. Our [REDACTED] [REDACTED] is in her early 30's and lives in NYC. Please clear alert

Best,  
Amanda Kirby