
From: Bradley Gillin
Sent: Friday, June 15, 2018 7:43 PM
To: Vijay-A Sawant <[REDACTED]>
Cc: Daphne Cales <[REDACTED]>; Rodney Macelus <[REDACTED]>
Subject: RE: 2018 Periodic Review of HIGH RISK acct GoldenTree Asset Management Transportation LLC ([REDACTED])
under the GOLDENTREE RELATIONSHIP [I]

Classification: **For internal use only**

Vijay,
See attached Schedule A showing ownership and LP agreement.

Regards,
Brad



Bradley Gillin
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New York, NY 10154
[REDACTED]

Securities offered through Deutsche Bank Securities Inc.

From: Vijay-A Sawant
Sent: Friday, June 15, 2018 6:02 AM
To: Bradley Gillin <[REDACTED]>
Cc: Daphne Cales <[REDACTED]>; Rodney Macelus <[REDACTED]>
Subject: 2018 Periodic Review of HIGH RISK acct GoldenTree Asset Management Transportation LLC ([REDACTED])
under the GOLDENTREE RELATIONSHIP

Hello Brad/Rod,

This relates to review of above high risk account due for remediation 6/30/2018.

I have completed the attached checklist based on the docs available in the repository & previous cases. However during review of GoldenTree Asset Management LLC (GP to the GoldenTree Asset Management LP, which in turn is the sole shareholder in the above acct holding entity), I encountered the following error –

Your criteria resulted in more than 2000 documents. Refine your search

I tried accessing the repositories of individual DB accounts linked to this account but encountered similar error on one of the DB accounts ([REDACTED]), which I believe should have the docs that I am looking for, for this review. The docs that I am looking for are the constitutional docs for GoldenTree Asset Management LP (i.e Partnership Agreement) and GoldenTree Asset Management LLC (i.e Operating Agreement). I am unable to drill down to the UBO's due to the unavailability of these docs.