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**From:** Vijay-A Sawant [REDACTED]  
**Sent:** 7/2/2018 10:07:35 AM  
**To:** Bradley Gillin [REDACTED]  
**CC:** Daphne Cales [REDACTED]  
**Subject:** 2018 Periodic Review of acct Cerberus Capital Management, L.P. with GCIS#456209 under the CERBERUS CAPITAL RELATIONSHIP  
**Attachments:** Cereberus Checklist.xlsx; Fincen CDD Form\_Certification\_Regarding\_Beneficial\_Owners\_of\_Legal\_Entity\_Customers\_FINAL.pdf; image001.gif

Hello Brad,

This relates to the review of above *high risk* account due for remediation 07/31/2018.

Upon review of the docs available from repositories and attachments on this account, I have completed the attached checklist. The main parties of interest are as follows –

Acct Holder – **Cerberus Capital Management LP**  
General Partner – **Craig Court GP, LLC**  
Limited Partner – **Richter Investment Corp**  
Authorized Signatories – **Jeffrey Lomasky, Mark A. Neporent & Seth P. Plattus**  
Sole Managing Member@Craig Court GP, LLC – **Craig Court, Inc**  
President@Craig Court GP, LLC; Sole shareholder, Director & President@Craig Court, Inc & Authorized Signatory@Cerberus Capital Management LP – **Stephen A. Feinberg**  
25% Shareholder@Richter Investment Corp – **William L. Richter Perpetuities Trust 2**  
President, Director & 50% shareholder@Richter Investment Corp and Grantor & UBO@William L. Richter Perpetuities Trust 2 – **William L. Richter**

All searches will be run on the above identified RP's, so please advise in case incorrect. Kindly confirm whether there are any material changes to this account?

Please note the ID docs for Stephen A. Feinberg & William L. Richter are missing from the repositories. Request you to kindly advise (GCIS#) where this can be extracted?

Additionally constitutional docs are missing for Richter Investment Corp and William L. Richter Perpetuities Trust 2, hence all RP's cannot be ascertained. Kindly provide these so a thorough review can be done for this account. Missing info was taken from previous KYCPrints for this review.

Finally, request client to complete attached CDD form for this account and sent to us for review, thanks.

Kind Regards,



Vijay Sawant

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