



DB12000P - Deutsche Bank Private Bank

Batch Date:

05/29/2013

Alert Date:

Alert

05/29/2013

Organization: Southern Financial LLC

Address: Country:United States

Tracking ID: 6229369

Reporting ID: 6229369

Alert #: 4

Alert ID: 1559801.11522860.25661518

Entity Information

Entity ID: **25661518**

Entity Name: Southern Financial Group, Inc.

Address: South Carolina, UNITED STATES

Event: SEC - SEC Violations (Insider Trading, Securities Fraud)

ACT - Disciplinary, Regulatory Action

12/22/2004, The Securities and Exchange Commission announced that the Honorable David C. Norton, United States District Judge for the District of South Carolina, Charleston Division, entered Final Judgments as to Defendants Southern Financial Group, Inc. (Southern), Richard M. Wooten (Wooten) and Charles Dennis McKittrick (McKittrick).

Source Information

RDC URL: <https://grid.rdc.com/wss/entity.html?entityId=67b955a58510d8e75d3f3d53eb346cc4>

Source Name: SEC Litigation Releases - 2003, 11/14/2012

Source URL: <http://www.sec.gov/litigation/litreleases/litrelarchive/litarchive2003.shtml>

Entity URL: <http://www.sec.gov/litigation/litreleases/lr19009.htm>

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