DB PWM GLOBAL KYC/NCA: **PART A** ▱

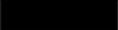KYC Case # : ▮▮▮▮   Status : **6. Approved**

One sheet must be established per relationship - list all accounts included in the relationship

1. Relationship Details				
Relationship Name:	SOUTHERN FINANCIAL RELATIONSHIP: ▮▮▮		Booking Center:	New York
Relationship Manager:	Stewart Oldfield			

Relationship to PWM:	☐ New PWM Relationship	☑ Existing PWM Relationship
	If existing, please indicate since when the relationship exists, provide reason for new profile and attach old profile: ***This KYC is a periodic review for high risk remediation*** Original ▮▮▮ opening new checking account with account title as Zorro Management LLC(House Acct) and adding 2 new signors: ▮▮▮ ▮▮▮.	

How Was the Client(s) Introduced? How long has the RM personally known the client?	☑ Client Referral	☐ RM Prospect	☐ Intermediary/FIM	☐ Other Source (CIB, etc.)
	Please provide details (e.g. name of referral source, how many years RM personally has known client, etc.): Jeffrey Epstein reached out to Stew Oldfield on 3/7/17 to have a new acct opened under Zorro Management LLC and add two new signors to this new acct only.			

Does Deutsche Bank pay a retrocession or similar compensation to a third party for the introduction of this relationship?	☐ Yes	☑ No
	(If Yes, describe):	

List all existing and new accounts involved in this relationship

	Legal Entity Account(s)	Account Name / Number	Opening Date (intended/actual)
1	☑	Zorro Management, LLC - Deposit-▮▮▮	12/20/2016
2	☑	Zorro Management, LLC (House Acct)-▮▮▮	3/13/2017
3	☑	LSJE, LLC - Deposit▮▮▮	2/25/2015
4	☑	Mort, Inc - Deposit-▮▮▮	2/6/2015
5	☑	Jeepers Inc- Brokerage-▮▮▮	9/23/2013
6	☑	Hyperion Air, LLC - Deposit-▮▮▮	10/18/2013
7	☑	Plan D LLC - Deposits-▮▮▮	10/18/2013
8	☑	JEGE LLC - Deposits▮▮▮	10/18/2013
9	☑	JEGE Inc - Deposits-▮▮▮	10/18/2013

Who is the primary contact person for the RM? (Note: This person needs to have signatory rights and/or information right for the accounts.):	Darren Indyke	Preferred method of contact (indicate phone no., fax no., e-mail address, etc.):	▮▮▮

One sheet must be established for each account to be opened

2. Account Ownership Summary		

Account Name:	Zorro Management, LLC - Deposit	Acct. Number *(if available)*: ▇▇▇▇▇
Account Manager:	stewart oldfield	

What is the purpose of the account (e.g. portfolio management, advisory account, custody services, long-term investment, payment/expense account)? the purpose of this account is to manage the daily expenses of the company

Indicate from where the assets are expected to arrive?

☑ DB Group: Same Booking Center (indicate account number): ▇▇▇▇▇

☐ DB Group: Other Booking Center (indicate DB location and account details):

☐ Other Institution – (Indicate name & location):

☐ Physical Deposits (specify cash, securities, cheques, ...):

What is the expected size and frequency of regular inflows and outflows for the account (indicate estimated number and volume per month)?: 0-10 inflows/outflows between 50k and 100k on a monthly basis

What is the expected volume of assets and currency for the account approx. 90 days after opening?	485559.78	Currency	usd

What is the expected volume of assets and currency for the account approx. one year after opening?	300500	Currency	usd

Does/will the client have Assets Under Management (AuM) within DB under Eur. 3M?	☐ Yes ☑ No

Please list all parties related to the account.

For each party:
- Check if a source of wealth description is required for the party.
- Check the appropriate box to describe the relationship of the party to this account (> 1 can be selected).
- If none of the check boxes apply, describe the party's relation to the account in the "Other" column.
- Always describe the relationship between the parties in the last column.
- Please drill down to the ultimate/underlying Beneficial Owner(s).

	Legal Entity	Description of Source of Wealth required	Parties related to this account	Account Holder	PIC Owner	Settlor of Trust / Founder of Foundation	Ultimate Beneficial Owner	Signatory or Full POA	Limited POA	Financial Intermediary (FIM)	Significant Shareholder (>=25%) Non-PIC entity (indicate ownership %)	Legal Representative	Grantor/Settlor	Founding Donor	Other (please describe other roles and/or indicate relationship between parties)
1	☑	☑	Zorro Management, LLC	☑	☐	☐	☐	☐	☐	☐		☐	☐	☐	
2	☐	☐	Jeffrey E. Epstein	☐	☐	☐	☑	☑	☐	☐		☐	☐	☐	
3	☐	☐	Darren Indyke	☐	☐	☐	☐	☑	☐	☐		☐	☐	☐	

2. Account Ownership Summary		
Account Name:	Zorro Management, LLC (House Acct)	Acct. Number *(if available):* ██████
Account Manager:	stew oldfield	

What is the purpose of the account (e.g. portfolio management, advisory account, custody services, long-term investment, payment/expense account)? The purpose of the account is to manage the monthly expenses of the company

Indicate from where the assets are expected to arrive?

☑ DB Group: Same Booking Center (indicate account number): ██████

☐ DB Group: Other Booking Center (indicate DB location and account details):

☐ Other Institution – (Indicate name & location):

☐ Physical Deposits (specify cash, securities, cheques, …):

What is the expected size and frequency of regular inflows and outflows for the account (indicate estimated number and volume per month)?: 0-10 inflows/outflows of no more than 15k on a monthly basis

What is the expected volume of assets and currency for the account approx. 90 days after opening?	9906.52	Currency	usd
What is the expected volume of assets and currency for the account approx. one year after opening?	12000	Currency	usd

Does/will the client have Assets Under Management (AuM) within DB under Eur. 3M?	☐ Yes ☑ No

Please list all parties related to the account.

For each party:
- Check if a source of wealth description is required for the party.
- Check the appropriate box to describe the relationship of the party to this account (> 1 can be selected).
- If none of the check boxes apply, describe the party's relation to the account in the "Other" column.
- Always describe the relationship between the parties in the last column.
- Please drill down to the ultimate/underlying Beneficial Owner(s).

	Legal Entity	Description of Source of Wealth required	Parties related to this account	Account Holder	PIC Owner	Settlor of Trust / Founder of Foundation	Ultimate Beneficial Owner	Signatory or Full POA	Limited POA	Financial Intermediary (FIM)	Significant Shareholder (>=25%) Non-PIC entity (indicate ownership %)	Legal Representative	Grantor/Settlor	Founding Donor	Other (please describe other roles and/or indicate relationship between parties)
1	☑	☑	Zorro Management, LLC	☑	☐	☐	☐	☐	☐	☐		☐	☐	☐	
2	☐	☐	Jeffrey E. Epstein	☐	☐	☐	☑	☑	☐	☐		☐	☐	☐	
3	☐	☐	Darren Indyke	☐	☐	☐	☐	☑	☐	☐		☐	☐	☐	
4	☐	☐	██████	☐	☐	☐	☐	☑	☐	☐		☐	☐	☐	
5	☐	☐	██████	☐	☐	☐	☐	☑	☐	☐		☐	☐	☐	

2. Account Ownership Summary		
Account Name:	LSJE, LLC - Deposit	Acct. Number *(if available)*: ▓▓▓
Account Manager:	stewart oldfield	

What is the purpose of the account (e.g. portfolio management, advisory account, custody services, long-term investment, payment/expense account)? The purpose of the account is to manage the monthly expenses of the company

Indicate from where the assets are expected to arrive?

☑ DB Group: Same Booking Center (indicate account number): ▓▓▓

☐ DB Group: Other Booking Center (indicate DB location and account details):

☐ Other Institution – (Indicate name & location):

☐ Physical Deposits (specify cash, securities, cheques, ...):

What is the expected size and frequency of regular inflows and outflows for the account (indicate estimated number and volume per month)?: 0-10 inflows/outflows of $0-$30k on a monthly basis

What is the expected volume of assets and currency for the account approx. 90 days after opening?	121165	Currency	usd
What is the expected volume of assets and currency for the account approx. one year after opening?	350000	Currency	usd

Does/will the client have Assets Under Management (AuM) within DB under Eur. 3M?	☐ Yes ☑ No

Please list all parties related to the account.

For each party:
- Check if a source of wealth description is required for the party.
- Check the appropriate box to describe the relationship of the party to this account (> 1 can be selected).
- If none of the check boxes apply, describe the party's relation to the account in the "Other" column.
- Always describe the relationship between the parties in the last column.
- Please drill down to the ultimate/underlying Beneficial Owner(s).

	Legal Entity	Description of Source of Wealth required	Parties related to this account	Account Holder	PIC Owner	Settlor of Trust / Founder of Foundation	Ultimate Beneficial Owner	Signatory or Full POA	Limited POA	Financial Intermediary (FIM)	Significant Shareholder (>=25%) Non-PIC entity (indicate ownership %)	Legal Representative	Grantor/Settlor	Founding Donor	Other (please describe other roles and/or indicate relationship between parties)
1	☑	☑	LSJE, LLC	☑	☐	☐	☐	☐	☐	☐		☐	☐	☐	
2	☐	☐	Jeffrey E. Epstein	☐	☐	☐	☑	☑	☐	☐		☐	☐	☐	
3	☐	☐	Darren Indyke	☐	☐	☐	☐	☑	☐	☐		☐	☐	☐	

SDNY_GM_00037392

DB-SDNY-0000216

EFTA_00148004

EFTA01282113

2. Account Ownership Summary		
Account Name:	Mort, Inc - Deposit	Acct. Number *(if available)*: ▇
Account Manager:	stewart oldfield	

What is the purpose of the account (e.g. portfolio management, advisory account, custody services, long-term investment, payment/expense account)? The purpose of the account is to manage the monthly expenses of the company

Indicate from where the assets are expected to arrive?

☑ DB Group: Same Booking Center (indicate account number): ▇

☐ DB Group: Other Booking Center (indicate DB location and account details):

☐ Other Institution – (Indicate name & location):

☐ Physical Deposits (specify cash, securities, cheques, ...):

What is the expected size and frequency of regular inflows and outflows for the account (indicate estimated number and volume per month)?: 0-5 inflows/outflows of less than $10k on monthly basis

What is the expected volume of assets and currency for the account approx. 90 days after opening?	4853.12	Currency	usd
What is the expected volume of assets and currency for the account approx. one year after opening?	6500	Currency	usd

Does/will the client have Assets Under Management (AuM) within DB under Eur. 3M?	☐ Yes ☑ No

Please list all parties related to the account.

For each party:
- Check if a source of wealth description is required for the party.
- Check the appropriate box to describe the relationship of the party to this account (> 1 can be selected).
- If none of the check boxes apply, describe the party's relation to the account in the "Other" column.
- Always describe the relationship between the parties in the last column.
- Please drill down to the ultimate/underlying Beneficial Owner(s).

	Legal Entity	Description of Source of Wealth required	Parties related to this account	Account Holder	PIC Owner	Settlor of Trust / Founder of Foundation	Ultimate Beneficial Owner	Signatory or Full POA	Limited POA	Financial Intermediary (FIM)	Significant Shareholder (>=25%) Non-PIC entity (indicate ownership %)	Legal Representative	Grantor/Settlor	Founding Donor	Other (please describe other roles and/or indicate relationship between parties)
1	☑	☑	Mort, Inc	☑	☐	☐	☐	☐	☐	☐		☐	☐	☐	
2	☐	☐	Jeffrey E. Epstein	☐	☐	☐	☑	☑	☐	☐		☐	☐	☐	
3	☐	☐	Darren Indyke	☐	☐	☐	☐	☑	☐	☐		☐	☐	☐	

2. Account Ownership Summary		
Account Name:	Jeepers Inc- Brokerage	Acct. Number *(if available)*: ████
Account Manager:	stewart oldfield	

What is the purpose of the account (e.g. portfolio management, advisory account, custody services, long-term investment, payment/expense account)? The purpose of the account is to manage the securities purchases of the company

Indicate from where the assets are expected to arrive?

☑ DB Group: Same Booking Center (indicate account number): ████

☐ DB Group: Other Booking Center (indicate DB location and account details):

☐ Other Institution – (Indicate name & location):

☐ Physical Deposits (specify cash, securities, cheques, ...):

What is the expected size and frequency of regular inflows and outflows for the account (indicate estimated number and volume per month)?: 0/10 inflows/outflows of less than $5k a month

What is the expected volume of assets and currency for the account approx. 90 days after opening?	3169993	Currency	usd
What is the expected volume of assets and currency for the account approx. one year after opening?	3149993	Currency	usd

Does/will the client have Assets Under Management (AuM) within DB under Eur. 3M?   ☐ Yes ☑ No

Please list all parties related to the account.

For each party:
- Check if a source of wealth description is required for the party.
- Check the appropriate box to describe the relationship of the party to this account (> 1 can be selected).
- If none of the check boxes apply, describe the party's relation to the account in the "Other" column.
- Always describe the relationship between the parties in the last column.
- Please drill down to the ultimate/underlying Beneficial Owner(s).

	Legal Entity	Description of Source of Wealth required	Parties related to this account	Account Holder	PIC Owner	Settlor of Trust / Founder of Foundation	Ultimate Beneficial Owner	Signatory or Full POA	Limited POA	Financial Intermediary (FIM)	Significant Shareholder (>=25%) Non-PIC entity (indicate ownership %)	Legal Representative	Grantor/Settlor	Founding Donor	Other (please describe other roles and/or indicate relationship between parties)
1	☑	☑	Jeepers, Inc	☑	☐	☐	☐	☐	☐	☐		☐	☐	☐	
2	☐	☐	Jeffrey E. Epstein	☐	☐	☐	☑	☑	☐	☐		☐	☐	☐	
3	☐	☐	Darren Indyke	☐	☐	☐	☐	☑	☐	☐		☐	☐	☐	
4	☐	☐	Jean Anne Brennan Wiebracht	☐	☐	☐	☐	☑	☐	☐		☐	☐	☐	
5	☐	☐	Harry Beller	☐	☐	☐	☐	☑	☐	☐		☐	☐	☐	

2. Account Ownership Summary		
Account Name:	Hyperion Air, LLC - Deposit	Acct. Number *(if available)*: ▮
Account Manager:	stewart oldfield	

What is the purpose of the account (e.g. portfolio management, advisory account, custody services, long-term investment, payment/expense account)? The purpose of the account is to manage the monthly expenses of the company

Indicate from where the assets are expected to arrive?

☑ DB Group: Same Booking Center (indicate account number): ▮

☐ DB Group: Other Booking Center (indicate DB location and account details):

☐ Other Institution – (Indicate name & location):

☐ Physical Deposits (specify cash, securities, cheques, ...):

What is the expected size and frequency of regular inflows and outflows for the account (indicate estimated number and volume per month)?: 0-3 inflows/outflows on a bi monthly basis of less than $5k

What is the expected volume of assets and currency for the account approx. 90 days after opening?	100291.79	Currency	usd
What is the expected volume of assets and currency for the account approx. one year after opening?	93500	Currency	usd
Does/will the client have Assets Under Management (AuM) within DB under Eur. 3M?	☐ Yes ☑ No		

Please list all parties related to the account.

For each party:
- Check if a source of wealth description is required for the party.
- Check the appropriate box to describe the relationship of the party to this account (> 1 can be selected).
- If none of the check boxes apply, describe the party's relation to the account in the "Other" column.
- Always describe the relationship between the parties in the last column.
- Please drill down to the ultimate/underlying Beneficial Owner(s).

	Legal Entity	Description of Source of Wealth required	Parties related to this account	Account Holder	PIC Owner	Settlor of Trust / Founder of Foundation	Ultimate Beneficial Owner	Signatory or Full POA	Limited POA	Financial Intermediary (FIM)	Significant Shareholder (>=25%) Non-PIC entity (indicate ownership %)	Legal Representative	Grantor/Settlor	Founding Donor	Other (please describe other roles and/or indicate relationship between parties)
1	☑	☑	Hyperion Air, LLC	☑	☐	☐	☐	☐	☐	☐		☐	☐	☐	
2	☐	☐	Jeffrey E. Epstein	☐	☐	☐	☑	☑	☐	☐		☐	☐	☐	
3	☐	☐	Darren Indyke	☐	☐	☐	☐	☑	☐	☐		☐	☐	☐	
4	☐	☐	Harry Beller	☐	☐	☐	☐	☑	☐	☐		☐	☐	☐	

2. Account Ownership Summary		
Account Name:	Plan D LLC - Deposits	Acct. Number *(if available)*: ■
Account Manager:	stewart oldfield	

What is the purpose of the account (e.g. portfolio management, advisory account, custody services, long-term investment, payment/expense account)? The purpose of the account is to manage the monthly expenses of the company

Indicate from where the assets are expected to arrive?

☑ DB Group: Same Booking Center (indicate account number): ■

☐ DB Group: Other Booking Center (indicate DB location and account details):

☐ Other Institution – (Indicate name & location):

☐ Physical Deposits (specify cash, securities, cheques, ...):

What is the expected size and frequency of regular inflows and outflows for the account (indicate estimated number and volume per month)?: 0-5 inflows/outflows between $10k and $150k on a monthly basis

What is the expected volume of assets and currency for the account approx. 90 days after opening?	229030.42	Currency	usd
What is the expected volume of assets and currency for the account approx. one year after opening?	160650.00	Currency	usd

Does/will the client have Assets Under Management (AuM) within DB under Eur. 3M?   ☐ Yes ☑ No

Please list all parties related to the account.
For each party:
- Check if a source of wealth description is required for the party.
- Check the appropriate box to describe the relationship of the party to this account (> 1 can be selected).
- If none of the check boxes apply, describe the party's relation to the account in the "Other" column.
- Always describe the relationship between the parties in the last column.
- Please drill down to the ultimate/underlying Beneficial Owner(s).

	Legal Entity	Description of Source of Wealth required	Parties related to this account	Account Holder	PIC Owner	Settlor of Trust / Founder of Foundation	Ultimate Beneficial Owner	Signatory or Full POA	Limited POA	Financial Intermediary (FIM)	Significant Shareholder (>=25%) Non-PIC entity (indicate ownership %)	Legal Representative	Grantor/Settlor	Founding Donor	Other (please describe other roles and/or indicate relationship between parties)
1	☑	☑	Plan D, LLC	☑	☐	☐	☐	☐	☐	☐		☐	☐	☐	
2	☐	☐	Jeffrey E. Epstein	☐	☐	☐	☑	☑	☐	☐		☐	☐	☐	
3	☐	☐	Darren Indyke	☐	☐	☐	☐	☑	☐	☐		☐	☐	☐	
4	☐	☐	Harry Beller	☐	☐	☐	☐	☑	☐	☐		☐	☐	☐	

2. Account Ownership Summary		

Account Name:	JEGE LLC - Deposits	Acct. Number *(if available)*: ████
Account Manager:	stewart oldfield	

What is the purpose of the account (e.g. portfolio management, advisory account, custody services, long-term investment, payment/expense account)? The purpose of the account is to manage the monthly expenses of the company

Indicate from where the assets are expected to arrive?

☑ DB Group: Same Booking Center (indicate account number): ████

☐ DB Group: Other Booking Center (indicate DB location and account details):

☐ Other Institution – (Indicate name & location):

☐ Physical Deposits (specify cash, securities, cheques, ...):

What is the expected size and frequency of regular inflows and outflows for the account (indicate estimated number and volume per month)?: 0/10 inflows/outflows of up to $100k on a monthly basis

What is the expected volume of assets and currency for the account approx. 90 days after opening?	176381.00	Currency	usd
What is the expected volume of assets and currency for the account approx. one year after opening?	130777	Currency	usd

Does/will the client have Assets Under Management (AuM) within DB under Eur. 3M?	☐ Yes ☑ No

Please list all parties related to the account.

For each party:
- Check if a source of wealth description is required for the party.
- Check the appropriate box to describe the relationship of the party to this account (> 1 can be selected).
- If none of the check boxes apply, describe the party's relation to the account in the "Other" column.
- Always describe the relationship between the parties in the last column.
- Please drill down to the ultimate/underlying Beneficial Owner(s).

	Legal Entity	Description of Source of Wealth required	Parties related to this account	Account Holder	PIC Owner	Settlor of Trust / Founder of Foundation	Ultimate Beneficial Owner	Signatory or Full POA	Limited POA	Financial Intermediary (FIM)	Significant Shareholder (>=25%) Non-PIC entity (indicate ownership %)	Legal Representative	Grantor/Settlor	Founding Donor	Other (please describe other roles and/or indicate relationship between parties)
1	☑	☑	JEGE, LLC	☑	☐	☐	☐	☐	☐	☐		☐	☐	☐	
2	☐	☐	Jeffrey E. Epstein	☐	☐	☐	☑	☐	☐	☐		☐	☐	☐	
3	☐	☐	Darren Indyke	☐	☐	☐	☐	☑	☐	☐		☐	☐	☐	
4	☐	☐	Harry Beller	☐	☐	☐	☐	☑	☐	☐		☐	☐	☐	

2. Account Ownership Summary		
Account Name:	JEGE Inc - Deposits	Acct. Number *(if available)*: ███
Account Manager:	stewart oldfield	

What is the purpose of the account (e.g. portfolio management, advisory account, custody services, long-term investment, payment/expense account)? The purpose of the account is to manage the monthly expenses of the company

Indicate from where the assets are expected to arrive?

☑ DB Group: Same Booking Center (indicate account number): ███

☐ DB Group: Other Booking Center (indicate DB location and account details):

☐ Other Institution – (Indicate name & location):

☐ Physical Deposits (specify cash, securities, cheques, ...):

What is the expected size and frequency of regular inflows and outflows for the account (indicate estimated number and volume per month)?: 0-5 inflows/outflows of less than $5k on a monthly basis

What is the expected volume of assets and currency for the account approx. 90 days after opening?	963187.55	Currency	usd
What is the expected volume of assets and currency for the account approx. one year after opening?	950500	Currency	usd

Does/will the client have Assets Under Management (AuM) within DB under Eur. 3M?   ☐ Yes ☑ No

Please list all parties related to the account.

For each party:
- Check if a source of wealth description is required for the party.
- Check the appropriate box to describe the relationship of the party to this account (> 1 can be selected).
- If none of the check boxes apply, describe the party's relation to the account in the "Other" column.
- Always describe the relationship between the parties in the last column.
- Please drill down to the ultimate/underlying Beneficial Owner(s).

	Legal Entity	Description of Source of Wealth required	Parties related to this account	Account Holder	PIC Owner	Settlor of Trust / Founder of Foundation	Ultimate Beneficial Owner	Signatory or Full POA	Limited POA	Financial Intermediary (FIM)	Significant Shareholder (>=25%) Non-PIC entity (indicate ownership %)	Legal Representative	Grantor/Settlor	Founding Donor	Other (please describe other roles and/or indicate relationship between parties)
1	☑	☑	JEGE, Inc	☑	☐	☐	☐	☐	☐	☐		☐	☐	☐	
2	☐	☐	Jeffrey E. Epstein	☐	☐	☐	☑	☑	☐	☐		☐	☐	☐	
3	☐	☐	Darren Indyke	☐	☐	☐	☐	☑	☐	☐		☐	☐	☐	
4	☐	☐	Harry Beller	☐	☐	☐	☐	☑	☐	☐		☐	☐	☐	

One sheet must be established for EACH INDIVIDUAL PARTY in Section 2. Account Ownership Summary

3A. Individual Details (for all parties)

Individual's Name:	Darren Indyke - ██████		Date of Birth:	████████
Country of Residence:	███		Country of Citizenship:	███
Address of primary residence:	████████████		Has client resided outside of his/her country of nationality for 5 years or more?	☐ Yes ☑ No
Profession/Occupation:	Lawyer		Tax ID / SSN:	██████
Current Employer:	Southern Financial LLC		Position/Title/Rank:	
Address of employer:	575 Lexington Ave, 4th Fl Livingston NY United States 10022			

Does the person work as senior executive of a DB-recognized regulated entity in the financial industry? or an entity listed on a DB-recognised exchange? (Not applicable for operating entities	☐ Yes ☑ No	
Is the individual a Politically Exposed Person (PEP)? (if Yes, describe)	☐ Yes ☑ No	
To the best of your knowledge, is the individual related to an employee of the DB group? (if Family or Friendship, describe)	☐ Family ☐ Friendship ☑ None	
To the best of your knowledge, is the individual party to a non-banking relationship with Deutsche Bank (e.g., external legal counsel, client referral source, supplier of goods or services)? (if Yes,describe)	☐ Yes ☑ No	

If applicable, indicate which bank officers have met the person:	Indicate where and when the client meeting(s) took place:				
Bank Officer Name(s)	Bank Office:	Client Private Domicile:	Client Place of Business:	Other Location (specify):	Date:
Stewart Oldfield	☑	☐	☐		12/12/2016
	☐	☐	☐		
	☐	☐	☐		

☐ Wealth Details for this individual are not filled in, because they are the same as for the following person:

3B. Wealth Details (Only for parties requiring source of wealth description as indicated in Section 2)

Nature of the Individual's Business:	
Primary Country of source of wealth/source of Funds?	
Primary industry of source of Wealth/Source of Funds?	
Summarize Source of Wealth:	☐ Business Owner ☐ Salary/Earnings ☐ Investment ☐ Inheritance/Gifts ☐ Other:

Further Describe Source of Wealth /Detail the history of wealth for each of the sources: (e.g. For trusts, how did settlor accumulate wealth? For inheritance, how did family accumulate wealth?For business owners, how long in business, how many employees, level of profitability? Indicate type of business, countries of major activities, important business partners.)

Estimated Annual Income($):		Estimated amount of investable assets($):	
Estimated Net Worth($):		Amount of assets planned to invest with PWM($):	

Other Known Financial Institutions:

Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	

Please indicate the family situation of the individual (marital status, other family members, etc.):

One sheet must be established for EACH INDIVIDUAL PARTY in Section 2. Account Ownership Summary

3A. Individual Details (for all parties)

Individual's Name:	████████	Date of Birth:	████
Country of Residence:	███	Country of Citizenship:	███
Address of primary residence:	████████████	Has client resided outside of his/her country of nationality for 5 years or more?	☐ Yes ☑ No
Profession/Occupation:	Manager	Tax ID / SSN:	████
Current Employer:	Zorro Management	Position/Title/Rank:	Manager
Address of employer:	St Thomas United States		

Does the person work as senior executive of a DB-recognized regulated entity in the financial industry? or an entity listed on a DB-recognised exchange? (Not applicable for operating entities		☐ Yes ☑ No
Is the individual a Politically Exposed Person (PEP)? (if Yes, describe)		☐ Yes ☑ No
To the best of your knowledge, is the individual related to an employee of the DB group? (if Family or Friendship, describe)	☐ Family ☐ Friendship ☑ None	
To the best of your knowledge, is the individual party to a non-banking relationship with Deutsche Bank (e.g., external legal counsel, client referral source, supplier of goods or services)? (if Yes, describe)		☐ Yes ☑ No

If applicable, indicate which bank officers have met the person:	Indicate where and when the client meeting(s) took place:				
Bank Officer Name(s)	Bank Office:	Client Private Domicile:	Client Place of Business:	Other Location (specify):	Date:
	☐	☐	☐		
	☐	☐	☐		
	☐	☐	☐		

☐ Wealth Details for this individual are not filled in, because they are the same as for the following person:

3B. Wealth Details (Only for parties requiring source of wealth description as indicated in Section 2)

Nature of the Individual's Business:	
Primary Country of source of wealth/source of Funds?	
Primary industry of source of Wealth/Source of Funds?	
Summarize Source of Wealth:	☐ Business Owner ☐ Salary/Earnings ☐ Investment ☐ Inheritance/Gifts ☐ Other:

Further Describe Source of Wealth /Detail the history of wealth for each of the sources: (e.g. For trusts, how did settlor accumulate wealth? For inheritance, how did family accumulate wealth? For business owners, how long in business, how many employees, level of profitability? Indicate type of business, countries of major activities, important business partners.)

Estimated Annual Income($):		Estimated amount of investable assets($):	
Estimated Net Worth($):		Amount of assets planned to invest with PWM($):	

Other Known Financial Institutions:

Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	

Please indicate the family situation of the individual (marital status, other family members, etc.):

One sheet must be established for EACH INDIVIDUAL PARTY in Section 2. Account Ownership Summary

3A. Individual Details (for all parties)

Individual's Name:	███████	Date of Birth:	███████
Country of Residence:	██	Country of Citizenship:	██
Address of primary residence:	████████████	Has client resided outside of his/her country of nationality for 5 years or more?	☐ Yes ☑ No
Profession/Occupation:	Manager	Tax ID / SSN:	███████
Current Employer:	Zorro Management LLC	Position/Title/Rank:	Manager
Address of employer:	USVI United States		

Does the person work as senior executive of a DB-recognized regulated entity in the financial industry? or an entity listed on a DB-recognised exchange? (Not applicable for operating entities	☐ Yes ☑ No
Is the individual a Politically Exposed Person (PEP)? *(if Yes, describe)*	☐ Yes ☑ No
To the best of your knowledge, is the individual related to an employee of the DB group? *(if Family or Friendship, describe)*	☐ Family ☐ Friendship ☑ None
To the best of your knowledge, is the individual party to a non-banking relationship with Deutsche Bank (e.g., external legal counsel, client referral source, supplier of goods or services)? *(if Yes, describe)*	☐ Yes ☑ No

If applicable, indicate which bank officers have met the person:	Indicate where and when the client meeting(s) took place:				
Bank Officer Name(s)	Bank Office:	Client Private Domicile:	Client Place of Business:	Other Location (specify):	Date:
	☐	☐	☐		
	☐	☐	☐		
	☐	☐	☐		

☐ Wealth Details for this individual are not filled in, because they are the same as for the following person:

3B. Wealth Details (Only for parties requiring source of wealth description as indicated in Section 2)

Nature of the Individual's Business:	
Primary Country of source of wealth/source of Funds?	
Primary industry of source of Wealth/Source of Funds?	
Summarize Source of Wealth:	☐ Business Owner ☐ Salary/Earnings ☐ Investment ☐ Inheritance/Gifts ☐ Other:

Further Describe Source of Wealth /Detail the history of wealth for each of the sources: (e.g. For trusts, how did settlor accumulate wealth? For inheritance, how did family accumulate wealth? For business owners, how long in business, how many employees, level of profitability? Indicate type of business, countries of major activities, important business partners.)

Estimated Annual Income($):		Estimated amount of investable assets($):	
Estimated Net Worth($):		Amount of assets planned to invest with PWM($):	

Other Known Financial Institutions:

Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	

Please indicate the family situation of the individual (marital status, other family members, etc.):

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One sheet must be established for EACH INDIVIDUAL PARTY in Section 2. Account Ownership Summary

3A. Individual Details (for all parties)

Individual's Name:	Harry Beller - ███████		Date of Birth:	████████
Country of Residence:	██		Country of Citizenship:	██
Address of primary residence:	████████████████████		Has client resided outside of his/her country of nationality for 5 years or more?	☐ Yes ☑ No
Profession/Occupation:	Accountant		Tax ID / SSN:	████████
Current Employer:	HBRK Associates, Inc		Position/Title/Rank:	
Address of employer:	575 Lexington Avenue, 4th Floor Monsey NY United States 10022			

Does the person work as senior executive of a DB-recognized regulated entity in the financial industry? or an entity listed on a DB-recognised exchange? (Not applicable for operating entities	☐ Yes ☑ No
Is the individual a Politically Exposed Person (PEP)?*(if Yes, describe)*	☐ Yes ☑ No
To the best of your knowledge, is the individual related to an employee of the DB group?*(if Family or Friendship, describe)*	☐ Family ☐ Friendship ☑ None
To the best of your knowledge, is the individual party to a non-banking relationship with Deutsche Bank (e.g., external legal counsel, client referral source, supplier of goods or services)? *(if Yes,describe)*	☐ Yes ☑ No

If applicable, indicate which bank officers have met the person:	Indicate where and when the client meeting(s) took place:				
Bank Officer Name(s)	Bank Office:	Client Private Domicile:	Client Place of Business:	Other Location (specify):	Date:
	☐	☐	☐		
	☐	☐	☐		
	☐	☐	☐		

☐ Wealth Details for this individual are not filled in, because they are the same as for the following person:

3B. Wealth Details (Only for parties requiring source of wealth description as indicated in Section 2)

Nature of the Individual's Business:	
Primary Country of source of wealth/source of Funds?	
Primary industry of source of Wealth/Source of Funds?	
Summarize Source of Wealth:	☐ Business Owner ☐ Salary/Earnings ☐ Investment ☐ Inheritance/Gifts ☐ Other:

Further Describe Source of Wealth /Detail the history of wealth for each of the sources: (e.g. For trusts, how did settlor accumulate wealth? For inheritance, how did family accumulate wealth?For business owners, how long in business, how many employees, level of profitability? Indicate type of business, countries of major activities, important business partners.)

Estimated Annual Income($):		Estimated amount of investable assets($):	
Estimated Net Worth($):		Amount of assets planned to invest with PWM($):	

Other Known Financial Institutions:

Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	

Please indicate the family situation of the individual (marital status, other family members, etc.):

One sheet must be established for EACH INDIVIDUAL PARTY in Section 2. Account Ownership Summary

3A. Individual Details (for all parties)

Individual's Name:	Jeffrey E. Epstein - 00000483289	Date of Birth:	1/20/1953
Country of Residence:	USVI	Country of Citizenship:	USA
Address of primary residence:	Litte Saint James Saint Thomas 00802	Has client resided outside of his/her country of nationality for 5 years or more?	☐ Yes ☑ No
Profession/Occupation:	Self Empolyed	Tax ID / SSN:	■■■■■■
Current Employer:	Southern Financial LLC	Position/Title/Rank:	President
Address of employer:	6100 Red Hook Quarter B3 St. Thomas United States 00802		

Does the person work as senior executive of a DB-recognized regulated entity in the financial industry? or an entity listed on a DB-recognised exchange? (Not applicable for operating entities	☐ Yes ☑ No
Is the individual a Politically Exposed Person (PEP)?(if Yes, describe) close relationship with Bill Clinton and Prince Andrew.	☑ Yes ☐ No
To the best of your knowledge, is the individual related to an employee of the DB group?(if Family or Friendship, describe)	☐ Family ☐ Friendship ☑ None
To the best of your knowledge, is the individual party to a non-banking relationship with Deutsche Bank (e.g., external legal counsel, client referral source, supplier of goods or services)? (if Yes,describe)	☐ Yes ☑ No

If applicable, indicate which bank officers have met the person:	Indicate where and when the client meeting(s) took place:				
Bank Officer Name(s)	Bank Office:	Client Private Domicile:	Client Place of Business:	Other Location (specify):	Date:
stew oldielf	☐	☐	☐		6/28/2017
	☐	☐	☐		
	☐	☐	☐		

☐ Wealth Details for this individual are not filled in, because they are the same as for the following person:	

3B. Wealth Details (Only for parties requiring source of wealth description as indicated in Section 2)

Nature of the Individual's Business:	
Primary Country of source of wealth/source of Funds?	
Primary industry of source of Wealth/Source of Funds?	
Summarize Source of Wealth:	☐ Business Owner ☐ Salary/Earnings ☐ Investment ☐ Inheritance/Gifts ☐ Other:

Further Describe Source of Wealth /Detail the history of wealth for each of the sources: (e.g. For trusts, how did settlor accumulate wealth? For inheritance, how did family accumulate wealth?For business owners, how long in business, how many employees, level of profitability? Indicate type of business, countries of major activities, important business partners.)

Estimated Annual Income($):		Estimated amount of investable assets($):	
Estimated Net Worth($):		Amount of assets planned to invest with PWM($):	

Other Known Financial Institutions:

Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	

Please indicate the family situation of the individual (marital status, other family members, etc.):

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One sheet must be established for EACH INDIVIDUAL PARTY in Section 2. Account Ownership Summary

3A. Individual Details (for all parties)

Individual's Name:	Jean Anne Brennan Wiebracht – ███	Date of Birth:	███
Country of Residence:	███	Country of Citizenship:	███
Address of primary residence:	███	Has client resided outside of his/her country of nationality for 5 years or more?	☐ Yes ☑ No
Profession/Occupation:	Finance	Tax ID / SSN:	███
Current Employer:	Southern Financial, LLC	Position/Title/Rank:	
Address of employer:	6100 Red Hook Quarter, B3 St, Thomas United States 00802		

Does the person work as senior executive of a DB-recognized regulated entity in the financial industry? or an entity listed on a DB-recognised exchange? (Not applicable for operating entities	☐ Yes ☑ No
Is the individual a Politically Exposed Person (PEP)? *(if Yes, describe)*	☐ Yes ☑ No
To the best of your knowledge, is the individual related to an employee of the DB group? *(if Family or Friendship, describe)*	☐ Family ☐ Friendship ☑ None
To the best of your knowledge, is the individual party to a non-banking relationship with Deutsche Bank (e.g., external legal counsel, client referral source, supplier of goods or services)? *(if Yes, describe)*	☐ Yes ☑ No

If applicable, indicate which bank officers have met the person:	Indicate where and when the client meeting(s) took place:				
Bank Officer Name(s)	Bank Office:	Client Private Domicile:	Client Place of Business:	Other Location (specify):	Date:
	☐	☐	☐		
	☐	☐	☐		
	☐	☐	☐		

☐ Wealth Details for this individual are not filled in, because they are the same as for the following person:

3B. Wealth Details (Only for parties requiring source of wealth description as indicated in Section 2)

Nature of the Individual's Business:	
Primary Country of source of wealth/source of Funds?	
Primary industry of source of Wealth/Source of Funds?	
Summarize Source of Wealth:	☐ Business Owner ☐ Salary/Earnings ☐ Investment ☐ Inheritance/Gifts ☐ Other:

Further Describe Source of Wealth /Detail the history of wealth for each of the sources: (e.g. For trusts, how did settlor accumulate wealth? For inheritance, how did family accumulate wealth?For business owners, how long in business, how many employees, level of profitability? Indicate type of business, countries of major activities, important business partners.)

Estimated Annual Income($):		Estimated amount of investable assets($):	
Estimated Net Worth($):		Amount of assets planned to invest with PWM($):	

Other Known Financial Institutions:

Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	

Please indicate the family situation of the individual (marital status, other family members, etc.):

One sheet must be established for EACH LEGAL ENTITY in Section 2. Account Summary

3C. Legal Entity Details (For all Legal Entities)			
Legal Entity Name:	Zorro Management, LLC - ▇▇▇▇▇		
Type of Entity:	☐ Foundation/Association ☐ Trust ☑ Company ☐ Estate ☐ Partnership	Purpose of Entity:	☑ Private Investment ☐ Philanthropic/Charitable ☐ Commercial
Type of Entity Other (specify):	LLC	Purpose of Entity Other (specify):	
Country of incorporation/registration:	USA	Date of incorporation / registration:	12/14/2016
Volcker Status:	Identified on Customer Profile	Volcker Flag:	No
Address (city, street, post code):	6100 Red Hook Quarter, B3 St. Thomas; USVI 00802 United States	U.S. TIN/EIN:	▇▇▇▇▇

Provide a description of the entity's organizational structure, its ownership structure and its Top Management. For trusts/foundations, include information about revocability, settlor and beneficiaries, etc.:
Jeffrey Epstein is the sole manager and owner of this entity.

Signors on this account will be:
Jeffrey Epstein
Darren Indyke

Signors on the house acct are:
Jeffrey Epstein
Darren Indyke
▇▇▇▇▇

Please indicate how ownership of the legal entity is reflected:

☐ Special attention: Bearer Shares - Indicate where shares are custodied:

Describe the chain from the direct owner of the entity to the ultimate beneficial owner *(if not the same persons)*: Jeffrey Epstein is the sole manager and owner of this entity.

Signors on this account will be:
Jeffrey Epstein
Darren Indyke

Signors on the house acct are:
Jeffrey Epstein
Darren Indyke
▇▇▇▇▇

To the best of your knowledge, is the entity party to a non-banking relationship with Deutsche Bank (e.g. external legal counsel, client referral source, supplier of goods or services)?:	Yes ☐ No ☑

Describe Nature of Entity's Primary Business and Investment Activities

Nature of the business:	a real estate holding company. It is a company formed to run and operate all activities associated with a property that Jeffrey Epstein owns in the US Virgin islands.
Countries where business is transacted:	USA
Number of employees:	1+

3D. Wealth Profile (Only for parties requiring source of wealth description as indicated in Section 2)	
Primary Country of source of wealth/source of Funds?	
Primary industry of source of Wealth/Source of Funds?	
Provide Evidence of Corporate Assets (e.g. balance sheet or equivalent summary of assets/liabilities): This company is funded by Jeffrey Epstein's personal wealth	
Estimated gross receipts p.a.($):	1,000,000.00
Estimated net profit p.a. ($):	1,000,000.00

Estimated investable assets ($):		$1 MM - 2 MM		
Potential Amount to be invested with PWM ($):				
Other Known Financial Institutions:				
Institution:		Country:		Est. Assets Under Mgt:
Institution:		Country:		Est. Assets Under Mgt:
Institution:		Country:		Est. Assets Under Mgt:

One sheet must be established for EACH LEGAL ENTITY in Section 2. Account Summary

3C. Legal Entity Details (For all Legal Entities)

Legal Entity Name:	LSJE, LLC - ███████		
Type of Entity:	☐ Foundation/Association ☐ Trust ☑ Company ☐ Estate ☐ Partnership	Purpose of Entity:	☑ Private Investment ☐ Philanthropic/Charitable ☐ Commercial
Type of Entity Other (specify):		Purpose of Entity Other (specify):	
Country of incorporation/registration:	USA	Date of incorporation / registration:	10/27/2011
Volcker Status:	Determination Required	Volcker Flag:	No
Address (city, street, post code):	6100 Red Hook Quarter, B3 St. Thomas 00802 United States	U.S. TIN/EIN:	████████

Provide a description of the entity's organizational structure, its ownership structure and its Top Management. For trusts/foundations, include information about revocability, settlor and beneficiaries, etc.:
LSJE is a single member LLC. Jeffrey Epstein is the sole owner and member. He owns 100% of the 100 shares issued. The signors on this account are Jeffrey Epstein and Darren Indyke

Please indicate how ownership of the legal entity is reflected:

☐ Special attention: Bearer Shares - Indicate where shares are custodied:

Describe the chain from the direct owner of the entity to the ultimate beneficial owner *(if not the same persons)*:

To the best of your knowledge, is the entity party to a non-banking relationship with Deutsche Bank (e.g. external legal counsel, client referral source, supplier of goods or services)?:	Yes ☐ No ☑

Describe Nature of Entity's Primary Business and Investment Activities

Nature of the business:	LSJE, LLC was established for purposes of maintaining payroll and maintenance related expenses of Jeffrey Epstein's private residence. No business purpose.
Countries where business is transacted:	USA
Number of employees:	1

3D. Wealth Profile (Only for parties requiring source of wealth description as indicated in Section 2)

Primary Country of source of wealth/source of Funds?	
Primary industry of source of Wealth/Source of Funds?	

Provide Evidence of Corporate Assets (e.g. balance sheet or equivalent summary of assets/liabilities):
Funds from Jeffrey Epstein. LSJE, LLC was established for purposes of maintaining payroll and maintenance related expenses of Jeffrey Epstein's private residence. No business purpose.

Estimated gross receipts p.a.($):	1,000,000.00
Estimated net profit p.a. ($):	100,000.00
Estimated investable assets ($):	$2 MM - 5 MM
Potential Amount to be invested with PWM ($):	
Other Known Financial Institutions:	

Institution:	DB	Country:	USA	Est. Assets Under Mgt:	200,000.00
Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	

One sheet must be established for EACH LEGAL ENTITY in Section 2. Account Summary

3C. Legal Entity Details (For all Legal Entities)

Legal Entity Name:	Mort, Inc - ███		
Type of Entity:	☐ Foundation/Association ☐ Trust ☑ Company ☐ Estate ☐ Partnership	Purpose of Entity:	☑ Private Investment ☐ Philanthropic/Charitable ☐ Commercial
Type of Entity Other (specify):		Purpose of Entity Other (specify):	
Country of incorporation/registration:	USVI	Date of incorporation / registration:	4/12/2011
Volcker Status:	Determination Required	Volcker Flag:	No
Address (city, street, post code):	6100 Red Hook Quarter, B3 St, Thomas 00802 United States	U.S. TIN/EIN:	███

Provide a description of the entity's organizational structure, its ownership structure and its Top Management. For trusts/foundations, include information about revocability, settlor and beneficiaries, etc.:
Corporation with 1000 issued. All issued shares are owned by Jeffrey Epstein. Jeffrey Epstein is the President and sole shareholder and owner. The signors on this account are Jeffrey Epstein and Darren Indyke

Please indicate how ownership of the legal entity is reflected:

☐ Special attention: Bearer Shares - Indicate where shares are custodied:

Describe the chain from the direct owner of the entity to the ultimate beneficial owner *(if not the same persons)*:

To the best of your knowledge, is the entity party to a non-banking relationship with Deutsche Bank (e.g. external legal counsel, client referral source, supplier of goods or services)?:	Yes ☐ No ☑

Describe Nature of Entity's Primary Business and Investment Activities

Nature of the business:	Investment holding company. A private company that is used to hold investements that the sole owner, Jeffrey, would like to keep separate from other investments
Countries where business is transacted:	USVI
Number of employees:	2

3D. Wealth Profile (Only for parties requiring source of wealth description as indicated in Section 2)

Primary Country of source of wealth/source of Funds?	
Primary industry of source of Wealth/Source of Funds?	

Provide Evidence of Corporate Assets (e.g. balance sheet or equivalent summary of assets/liabilities):
Capital contribution of $1000 from Jeffrey Epstein

Estimated gross receipts p.a.($):	100,000.00
Estimated net profit p.a. ($):	100,000.00
Estimated investable assets ($):	<$1 MM
Potential Amount to be invested with PWM ($):	

Other Known Financial Institutions:

Institution:	DB	Country:	USA	Est. Assets Under Mgt:	100,000.00
Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	

One sheet must be established for EACH LEGAL ENTITY in Section 2. Account Summary

3C. Legal Entity Details (For all Legal Entities)

Legal Entity Name:	Jeepers, Inc - ███████

Type of Entity:	☐ Foundation/Association ☐ Trust ☑ Company ☐ Estate ☐ Partnership	Purpose of Entity:	☑ Private Investment ☐ Philanthropic/Charitable ☐ Commercial
Type of Entity Other (specify):		Purpose of Entity Other (specify):	
Country of incorporation/registration:	United States Virgin Island	Date of incorporation / registration:	8/18/2003
Volcker Status:	Determination Required	Volcker Flag:	No
Address (city, street, post code):	6100 Red Hook Quarter B3 St, Thomas 00802 United States	U.S. TIN/EIN:	███████

Provide a description of the entity's organizational structure, its ownership structure and its Top Management. For trusts/foundations, include information about revocability, settlor and beneficiaries, etc.:
Jeffrey Epstein is the sole shareholder of Jeepers Inc. The signors on this account are Jeffrey Epstein, Jeanne Brennan, Harry Beller, and Darren Indyke

Please indicate how ownership of the legal entity is reflected:

☐ Special attention: Bearer Shares - Indicate where shares are custodied:

Describe the chain from the direct owner of the entity to the ultimate beneficial owner (if not the same persons):

To the best of your knowledge, is the entity party to a non-banking relationship with Deutsche Bank (e.g. external legal counsel, client referral source, supplier of goods or services)?:   Yes ☐ No ☑

Describe Nature of Entity's Primary Business and Investment Activities

Nature of the business:	Jeepers Inc is a private company that holds investments of its owner, Jeffrey Epstein.
Countries where business is transacted:	USA, USVI
Number of employees:	3

3D. Wealth Profile (Only for parties requiring source of wealth description as indicated in Section 2)

Primary Country of source of wealth/source of Funds?	
Primary industry of source of Wealth/Source of Funds?	

Provide Evidence of Corporate Assets (e.g. balance sheet or equivalent summary of assets/liabilities):
Jeepers Inc was started to hold the personal investments of Jeffrey Epstein. The company began with 1,000 shares of common stock at no par value. Its revenue comes from the gains of the investments it holds.

Estimated gross receipts p.a.($):	400,000.00
Estimated net profit p.a. ($):	200,000.00
Estimated investable assets ($):	<$1 MM
Potential Amount to be invested with PWM ($):	
Other Known Financial Institutions:	

Institution:	DB	Country:	USA	Est. Assets Under Mgt:	200,000.00
Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	

One sheet must be established for EACH LEGAL ENTITY in Section 2. Account Summary

3C. Legal Entity Details (For all Legal Entities)

Legal Entity Name:	Hyperion Air, LLC ███████

Type of Entity:	☐ Foundation/Association ☐ Trust ☑ Company ☐ Estate ☐ Partnership	Purpose of Entity:	☑ Private Investment ☐ Philanthropic/Charitable ☐ Commercial

Type of Entity Other (specify):		Purpose of Entity Other (specify):	
Country of incorporation/registration:	US Virgin Islands	Date of incorporation / registration:	10/19/2012
Volcker Status:	Determination Required	Volcker Flag:	No
Address (city, street, post code):	6100 Red Hook, Quarter B3 St, Thomas 00802	U.S. TIN/EIN:	███████

Provide a description of the entity's organizational structure, its ownership structure and its Top Management. For trusts/foundations, include information about revocability, settlor and beneficiaries, etc.:
Single member LLC. Jeffrey Epstein is sole member/owner. The signors on this account are Jeffrey Epstein, Harry Beller and Darren Indyke

Please indicate how ownership of the legal entity is reflected:

☐ Special attention: Bearer Shares - Indicate where shares are custodied:

Describe the chain from the direct owner of the entity to the ultimate beneficial owner (if not the same persons):

To the best of your knowledge, is the entity party to a non-banking relationship with Deutsche Bank (e.g. external legal counsel, client referral source, supplier of goods or services)?:	Yes ☐ No ☑

Describe Nature of Entity's Primary Business and Investment Activities

Nature of the business:	This is a company formed to hold funds for the taxes and payments of one of Jeffrey Epstein's airplanes. This LLC was formed to eventually take over payments for Hyperion Air Inc. Hyperion Air Inc will be closed within a year
Countries where business is transacted:	USA, USVI
Number of employees:	1

3D. Wealth Profile (Only for parties requiring source of wealth description as indicated in Section 2)	
Primary Country of source of wealth/source of Funds?	
Primary industry of source of Wealth/Source of Funds?	

Provide Evidence of Corporate Assets (e.g. balance sheet or equivalent summary of assets/liabilities):
This is a company formed to hold funds for the taxes and payments of one of Jeffrey Epstein's airplanes. This LLC was formed to eventually take over payments for Hyperion Air Inc. Hyperion Air Inc will be closed within a year. Initial capital contribution of $1,000 from Jeffrey Epstein. All funds for this company come from Jeffrey Epstein's source of wealth.

Estimated gross receipts p.a.($):	1,000,000.00
Estimated net profit p.a. ($):	500,000.00
Estimated investable assets ($):	<$1 MM
Potential Amount to be invested with PWM ($):	

Other Known Financial Institutions:

Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	

One sheet must be established for EACH LEGAL ENTITY in Section 2. Account Summary

3C. Legal Entity Details (For all Legal Entities)			
Legal Entity Name:	Plan D, LLC - ███████		
Type of Entity:	☐ Foundation/Association ☐ Trust ☑ Company ☐ Estate ☐ Partnership	Purpose of Entity:	☑ Private Investment ☐ Philanthropic/Charitable ☐ Commercial
Type of Entity Other (specify):		Purpose of Entity Other (specify):	
	US Virgin Islands		10/19/2012

Country of incorporation/registration:		Date of incorporation / registration:	
Volcker Status:	Determination Required	Volcker Flag:	No
Address (city, street, post code):	6100 Red Hook, Quarter B3 St, Thomas 00802 United States	U.S. TIN/EIN:	■■■■

Provide a description of the entity's organizational structure, its ownership structure and its Top Management. For trusts/foundations, include information about revocability, settlor and beneficiaries, etc.:
Single member LLC. Sole member is Jeffrey Epstein. The signors on this account are Jeffrey Epstein, Harry Beller and Darren Indyke

Please indicate how ownership of the legal entity is reflected:

☐ Special attention: Bearer Shares - Indicate where shares are custodied:

Describe the chain from the direct owner of the entity to the ultimate beneficial owner *(if not the same persons)*:

To the best of your knowledge, is the entity party to a non-banking relationship with Deutsche Bank (e.g. external legal counsel, client referral source, supplier of goods or services)?:	Yes ☐ No ☑

Describe Nature of Entity's Primary Business and Investment Activities

Nature of the business:	LLC created to hold funds to pay for costs associated with one of Jeffrey Epstein's planes
Countries where business is transacted:	USA, USVI
Number of employees:	1

3D. Wealth Profile (Only for parties requiring source of wealth description as indicated in Section 2)			
Primary Country of source of wealth/source of Funds?			
Primary industry of source of Wealth/Source of Funds?			

Provide Evidence of Corporate Assets (e.g. balance sheet or equivalent summary of assets/liabilities):
LLC created to hold funds to pay for costs associated with one of Jeffrey Epstein's planes. Initial contribution of $1,000 from Jeffrey Epstein and all source of funds come from Jeffrey Epstein.

Estimated gross receipts p.a.($):	1,000,000.00
Estimated net profit p.a. ($):	500,000.00
Estimated investable assets ($):	<$1 MM
Potential Amount to be invested with PWM ($):	

Other Known Financial Institutions:

Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	

One sheet must be established for EACH LEGAL ENTITY in Section 2. Account Summary

3C. Legal Entity Details (For all Legal Entities)			
Legal Entity Name:	JEGE, LLC - ■■■■		
Type of Entity:	☐ Foundation/Association ☐ Trust ☑ Company ☐ Estate ☐ Partnership	Purpose of Entity:	☑ Private Investment ☐ Philanthropic/Charitable ☐ Commercial
Type of Entity Other (specify):		Purpose of Entity Other (specify):	
Country of incorporation/registration:	USVI	Date of incorporation / registration:	10/19/2012
Volcker Status:	Determination Required	Volcker Flag:	No
	6100 Red Hook, Quarter B3 St, Thomas 00802 United States	U.S. TIN/EIN:	■■■■

Address (city, street, post code):			

Provide a description of the entity's organizational structure, its ownership structure and its Top Management. For trusts/foundations, include information about revocability, settlor and beneficiaries, etc.:

Single member LLC. Jeffrey Epstein is the sole member. The signors on this account are Jeffrey Epstein, Harry Beller, and Darren Indyke

Please indicate how ownership of the legal entity is reflected:

☐ Special attention: Bearer Shares - Indicate where shares are custodied:

Describe the chain from the direct owner of the entity to the ultimate beneficial owner *(if not the same persons)*:

To the best of your knowledge, is the entity party to a non-banking relationship with Deutsche Bank (e.g. external legal counsel, client referral source, supplier of goods or services)?:	Yes ☐ No ☑

Describe Nature of Entity's Primary Business and Investment Activities

Nature of the business:	This is a company formed to hold funds for the taxes and payments of one of Jeffrey Epstein's airplanes. This LLC was formed to eventually take over payments for JEGE Inc. JEGE Inc will be closed within a year
Countries where business is transacted:	USA, USVI
Number of employees:	1

3D. Wealth Profile (Only for parties requiring source of wealth description as indicated in Section 2)

Primary Country of source of wealth/source of Funds?	
Primary industry of source of Wealth/Source of Funds?	

Provide Evidence of Corporate Assets (e.g. balance sheet or equivalent summary of assets/liabilities):
Initial funding of $1,000 from sole member Jeffrey Epstein. All corporate assets come from contributions made by the sole owner

Estimated gross receipts p.a.($):	100,000.00
Estimated net profit p.a. ($):	100,000.00
Estimated investable assets ($):	<$1 MM
Potential Amount to be invested with PWM ($):	

Other Known Financial Institutions:

Institution:	DB	Country:	USA	Est. Assets Under Mgt:	100,000.00
Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	

One sheet must be established for EACH LEGAL ENTITY in Section 2. Account Summary

3C. Legal Entity Details (For all Legal Entities)

Legal Entity Name:	JEGE, Inc – ██████		
Type of Entity:	☐ Foundation/Association ☐ Trust ☑ Company ☐ Estate ☐ Partnership	Purpose of Entity:	☑ Private Investment ☐ Philanthropic/Charitable ☐ Commercial
Type of Entity Other (specify):		Purpose of Entity Other (specify):	
Country of incorporation/registration:	USA	Date of incorporation / registration:	9/7/2000
Volcker Status:	Determination Required	Volcker Flag:	No
Address (city, street, post code):	6100 Red Hook, Quarter B3 St, Thomas 00802 United States	U.S. TIN/EIN:	██████

Provide a description of the entity's organizational structure, its ownership structure and its Top Management. For trusts/foundations, include information about revocability, settlor and beneficiaries, etc.:

Jeffrey Epstein is the sole owner. The signors on this account are Jeffrey Epstein, Harry Beller and Darren Indyke

Please indicate how ownership of the legal entity is reflected:

https://dbforcepb.my.salesforce.com/servlet/servlet.Integration?lid=01N30000000D9Di&e...   7/11/2019

☐ Special attention: Bearer Shares - Indicate where shares are custodied:

Describe the chain from the direct owner of the entity to the ultimate beneficial owner *(if not the same persons)*:		
To the best of your knowledge, is the entity party to a non-banking relationship with Deutsche Bank (e.g. external legal counsel, client referral source, supplier of goods or services)?:		Yes ☐ No ☑

Describe Nature of Entity's Primary Business and Investment Activities

Nature of the business:	Holds funds to pay for costs associated with an airplane owned by Jeffrey Epstein.
Countries where business is transacted:	USa, USVI
Number of employees:	1

3D. Wealth Profile (Only for parties requiring source of wealth description as indicated in Section 2)					
Primary Country of source of wealth/source of Funds?					
Primary industry of source of Wealth/Source of Funds?					
Provide Evidence of Corporate Assets (e.g. balance sheet or equivalent summary of assets/liabilities): All corporate funds/assets come from contributions by the sole owner, Jeffrey Epstein and his source of wealth					
Estimated gross receipts p.a.($):	1,000,000.00				
Estimated net profit p.a. ($):	1,000,000.00				
Estimated investable assets ($):	<$1 MM				
Potential Amount to be invested with PWM ($):					
Other Known Financial Institutions:					
Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	

DB PWM GLOBAL KYC/NCA: US/LatAm/Int'l **PART B**

Relationship Name	SOUTHERN FINANCIAL RELATIONSHIP						
Risk Rating Comments:							
Booking Center	☑ NY	☐ NY/Offshore	☐ Offshore	☐ Moderate Risk	☑ High Risk	Yoonsun Chung	
						(Compliance Signature)	

☐ DB Employee	☐ DB Managed PIC	☐ DB is Trustee/Co-Trustee	☐ Bearer Shares

4. Attachments

A. Type of Photo ID Provided — ☑ Drivers License ☐ Passport ☐ National/State ID ☐ Other

B. Checklist of names (individuals and/or entities) that were submitted for database searches is attached ☑ Yes ☐ No

C. Please indicate the results of the database searches performed

		negative results found	
RDC searches complete	☑ Yes ☐ No	negative results found	☑ Yes ☐ No
PCR checks complete	☑ Yes ☐ No	negative results found	☐ Yes ☑ No
OFAC checks complete	☑ Yes ☐ No	negative results found	☐ Yes ☑ No
BIS searches complete (Lexis/Nexis, Factiva, Reuters, Dow Jones, D&B)	☑ Yes ☐ No	negative results found	☐ Yes ☑ No
Denial Orders checks complete	☑ Yes ☐ No	negative results found	☐ Yes ☑ No
Martindale-Hubbell searched (Lawyers/Law Firms only)	☐ Yes ☑ No	negative results found	☐ Yes ☐ No

D. Please summarize any negative results from the database searches indicated above: In the attached legal proceedings, one is a false positive for Jeffrey S. Epstein (not Jeffrey E. Epstein) and the other contains no new information. The case relates to jurisdiction over disclosing correspondence from a previous trial that was addressed in previous ▮▮▮▮▮▮▮▮.

The named plaintiff for ▮▮▮▮▮▮ is referencing a ▮▮▮▮▮▮ residing in Los Angeles, CA and not ▮▮▮▮▮▮ residing in New Mexico. Same with the court cases regarding ▮▮▮▮▮▮ of Detroit, Michigan and ▮ ▮▮▮▮▮▮ civil suit from New Jersey. These are false positives and not a match with the ▮▮▮ ▮▮▮▮ in this kyc.

The civil case found in ▮▮▮▮▮▮ research is also a false positive as it lists the defendant as ▮▮▮▮▮ of San Bernadino CA and not ▮▮▮▮▮ from New Mexico that is noted in this KYC.

E. To the best of your knowledge, has the client ever been convicted of a criminal offense? ☐ Yes ☑ No

F. To the best of your knowledge, has the customer ever been involved in any past litigation against Deutsche Bank AG or any of its subsidiaries or is the customer threatening litigation against Deutsche Bank AG or any of its subsidiaries? *(If Yes, provide details below and contact Quality Mgt. (LatAm/Int'l) or the Regulatory Control Group and notify Compliance immediately)* ☐ Yes ☑ No

G. Does the client or related party have any financial or other association / interactions within countries or regimes sanctioned by the Office of Foreign Assets Control (OFAC)? ☐ Yes ☑ No

H. Does the client or related party have any financial or other association/ interactions within high risk countries? ☐ Yes ☑ No

I. Corporate Documentation Attached (Legal Entities Only) ☑ Yes ☐ No ☐ Not Applicable

J. Undisclosed Principal Form Complete (Intermediaries Only) ☐ Yes ☐ No ☑ Not Applicable

K. If Lexis/Nexis Search Results, Corporate Documents or Other Supporting Documentation is not in English, please provide an English summary of the nature/contents of the non-English Documentation:

L. Special Risk Factors

Does the account have Nexus to Special Risk Countries?	☐ Yes ☑ No
Is the account structure unusually complex?	☐ Yes ☑ No
Is there any indication the client set up a non operating company expressly for the purpose of transferring shares to third parties?	☐ Yes ☑ No
Is there any indication this could be a prohibited business relationship?	

	☐ Yes ☑ No
Are the bearer shares identified subject to acceptable controls?	☐ Yes ☑ No

M. Case Comments		
Created By	**Date**	**Comments**
Cynthia Rodriguez	7/5/117 5:11 PM	Client was contacted via phone on 6/28/2017 to confirm there are no material changes to his single member LLCs at DB

Kshitij Golani	8/18/117 3:36 PM	This KYC serves as a high risk update for the below listed clients (together "accountholders") –
		1. Zorro Management, LLC
		2. LSJE, LLC
		3. Mort, Inc
		4. Jeepers Inc
		5. Hyperion Air, LLC
		6. Plan D LLC
		7. JEGE LLC
		8. JEGE Inc
		Below are the reasons why we are comfortable with approving this KYC:
		• Information and supporting documents required by our AML Policy were provided and reviewed; and as a result, we have an understanding of the structure of the accountholders, their purpose, the purpose of the accounts and expected transaction activity.
		• There are a few risk factors associated with the accountholders –
		  SOW: The UBO (Jeffrey Epstein) is the founder of Southern Trust Company Inc, which manages client's assets. SOW verification was obtained.
		  Negative Media & PEP: There is some negative media against Mr Epstein and he is also maintains a close relationship with Bill Clinton and Prince Andrew. Please see risk calculator for more information. Appropriate approval was obtained.
		• Our review did not identify any red flags and thus there was no need to escalate this case further.
		• We performed due diligence searches on all parties and did not find the names searched to be on any sanctions lists

ONSHORE APPROVALS			
Client Facing Professional (CFP):	stewart oldfield	(Signature) stewart oldfield	6/29/2017
Office Director/Business Head:	Andrew F Gallivan	(Signature) Andrew F Gallivan	7/5/2017
Regional Office Director:		(Signature)	
AML Business Risk:		(Signature)	
AML Compliance:		(Signature)	
	Kshitij Golani	Kshitij Golani	8/18/2017
	Yoonsun Chung	Yoonsun Chung	8/18/2017

LATAM/INTERNATIONAL APPROVALS			
Client Facing Professional (CFP):		(Signature)	
Account Manager:		(Signature)	
Global Market Team Head (GMTH)/Sub-Market Team Head (SMTH):		(Signature)	
AML Business Risk:		(Signature)	
AML Compliance:		(Signature)	

PRIVATE WEALTH MANAGEMENT POLICY STATEMENT: *Deutsche Bank Private Wealth Management (PWM) worldwide is committed to professionally serve the interests of its clients. To do so, Client Facing Professionals1(1) (CFP's) should establish and maintain business relationships only with persons who satisfy our high standards of suitability, background and character. Due diligence obtained on the client must be appropriately documented by the CFP. It is the responsibility of CFP's to demonstrate that they know their client both at the inception of a relationship and on an ongoing basis.*

DECLARATION FOR ALL SIGNERS OF THIS FORM: *To the best of my knowledge and belief the above information is correct and up to date. I confirm that I have no suspicions relating to the money laundering or unethical activities on the part of the client(s) and that I have followed all the procedures relating to account opening as described in the PWM Americas Procedures and the PWM Global KYC Policy*

(1) Client Facing Professionals (CFP's) include: Relationship Managers, Wealth Advisors, Product Officers, Brokers, Client Managers, etc.

Deutsche Bank Americas New York