Wealth Manager Services
Custody New Account Opening Form

STATE STREET. For Everything You Invest In™

Deutsche Bank Private Wealth Management

Account Record

☑ Account #:	████ ████
☑ External Custody	No

☑ Is Owner a US Tax Payer?	Yes
☑ Federal Tax ID (SSN/TIN/EIN)	████████

☑ Account MIA Type — 8701 PERSONAL CUSTODY
☑ Acct Short/Long Name — JEFFREY EPSTEIN
Enter Name for Sorting: JEFFREY EPSTEIN

Date Opened — 4/10/2014
☑ Branch — 38:   DB NY DOMESTIC - CUSTODY ACCT [E214730]
☑ State Domicile — 54 U.S. Virgin Islands   ☑ Administrative Law — 32 New York

Acct Legal Name — JEFFREY EPSTEIN

Next Fiscal — 12/31 — of the current year **OR** If ERISA Account Enter Plan Year End

For Client Service Use Only

Tax Prep Service	1	Tax Servicer	2	Production Group	5

☑ Country of Residency — 209 United States

☑ Acquired by

☑ Account Capacity — 20 CUSTODIAN

Fee Agrmt Date — **4/10/14**   ☑ Date of Agreement — 4/9/14   ☑ Date of Apptment — **4/9/14**

☑ Group Code — 1 DEUTSCHE BANK TR CO AMERICAS
☑ Team Code — 5 DOMESTIC CUSTODY
☑ Base Currency — **22 US DOLLAR**

☑ Premium Tax Service Client? — Yes
☑ If an ERISA Account is 5500 Reporting Required? — No
☑ Is account owner a tax exempt recipient? — No
☑ Is this an IRA Account? — No

Tax Record

☑ US Tax Class — 1 US RESIDENT (TAX DUTY)   Foreign Tax Class
☑ Country Situs — 209 United States
☑ State Situs — 54 U.S. Virgin Islands

Valid Forms	☑ Status	☑ Start Date	
W-9	2 certified	4/10/2014	(mm/dd/yyyy)
W-8			(mm/dd/yyyy)
Form 1001			(mm/dd/yyyy)

Treaty Eligible Field & Special Withholding Instruction field should only be used for NRA clients submitting W-8Ben forms

Treaty Eligible? — No

Withholding Special Instructions if Not Using Treaty Eligible Rates

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DB-SDNY-0000566
EFTA_00148351

EFTA01282339

Tax Record Address

The following address must be added to Global Plus for the official mailing address of the client. If the account owner is a non US taxpayer, enter the address to which the 1099, 1042 or other mailings should be sent. For US taxpayers this may or may not be the same as the owner affiliation address.

☑ Client Name	JEFFREY E. EPSTEIN		
☑ Street Number	6100	Street Name	Red Hook Quarter, B3
☑ City	St. Thomas	☑ State	54 U.S. Virgin Islands
☑ Zip Code	00802	☑ Country	209 United States
☑ IRS Control Name	EPST		

Affiliation - Owner

If owner has an existing client record on Global Plus select Yes in the option below and provide the existing Internal client #. No other information is required. If No is selected owner information will populate based on tax record information provided. This address information below should reflect the legal/permanent address of the account owner. May be different than mailing address above.

☑ Is the owner an existing client record on Global Plus   No   Internal Client # _____

If new client complete the appropriate information for the owner affiliation: Client Title _____

	First Name	Last Name
Individual Client Name	JEFFREY	EPSTEIN
Name Extension Field		
or Corporate Name		
Street #	6100	Street Name Red Hook Quarter, B3
Address Line 2		
Mailing Info		
City	St. Thomas	State 54 U.S. Virgin Islands
Zip Code	00802	Country 209 United States
Name Type	7 individual	SSN or TIN ████████
Date of Birth		

☑ *Mandatory for IRA Account*

☑ Select Yes to also use this owner for the following account affiliation types. These are mandatory affiliation setups except for Daily Advice Recipient. If not the owner, complete the additional affiliation setup section. These affiliations types will be setup using the tax record address/mailing address. If that address should not be used select No here and setup in the affiliation section.

If Daily Advice is to be setup, select which type:

Yes	56 - Proxy Voter	36 - Advice Recipient	#N/A
Yes	137 TAX LETTER RECIPIENT		
No	1042S Recipient (applicable to non-domestic accounts only)		

Account Affiliations - Internal

☑ Affiliation Type	**152 - Corporate Action Representative**
Client/EE Number	Arthur Tendler EMP #██████
Affiliation Type	200 - Strategic Wealth Advisor
Client/EE Number	Paul Morris EMP# ████
☑ Affiliation Type	**228 - Custody Officer**
Client/EE Number	Arthur Tendler EMP # ████
☑ Affiliation Type	**2 - Investment Officer**
Client/EE Number	Arthur Tendler EMP #████
☑ Affiliation Type	**4 - Account Assistant**
Client/EE Number	Katy cheng EMP#████

☑ Affiliation Type	**23 - Tax Officer**
Client/EE Number	State Street [E:TAXST]

Affiliation Type	218 - Employee Account
Client/EE Number	

Affiliation Type	260 - Interested Party
Client/EE Number	

Affiliation Type	270 Hirtle Callahan Affiliation	**Mandatory if opening a Hirtle Account**
Client/EE Number	

Affiliation Type	
Client/EE Number	

Portfolio Record

Currency	**22 USD US DOLLAR**

Type of Portfolio	**Principal**
Portfolio Name	**JEFFREY EPSTEIN P CUSTODY USD**
Alt. Portfolio #	**▮▮▮▮. USD P 199**

Type of Portfolio	**N/A**
Portfolio Name	**JEFFREY EPSTEIN N CUSTODY USD**
Alt. Portfolio #	**▮▮▮▮. USD N 199**

Date Opened	4/10/2014 21:21	
Capacity Code	**20 CUSTODIAN**	
☑ Investment Authority	**6 NO RESPONSIBILITY**	**Acct Code Exten**
☑ Investment Objective	**199 - CUSTODY**	**199**
☑ Voting Authority	**2 CUSTOMER VOTES**	*If #1 selected, do not add Proxy affiliation*
☑ Taxlot Sale Method	4 highest cost (maximum loss)	

Setup Sweep	**Yes**
☑ Sweep Strategy	43 - DWS GOV'T CASH FUND [999010GC1]

Affiliation Adds

Affiliation Type	251 MUTUAL FUND REPORT RECIPIENT		
Is the affiliation an existing client record on Global Plus	**No**	Internal Client #	

If new client complete the appropriate information for the owner affiliation: Client Title ____

	First Name	Last Name
Individual Client Name		
Name Extension Field		
or Corporate Name	SAME AS OWNER	
Street #		Street Name
Address Line 1		
Mailing Info		
City		State
Zip Code		Country
Name Type		SSN
Date of Birth		*Mandatory for IRA Account*
Branch	38: DB NY DOMESTIC - CUSTODY ACCT [E214730]	
Identify any other affiliation types this	231 MUTUAL FUND PROXY RECIPIENT	
client may be on this account:	252 CORPORATE ACTION RECIPIENT	

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Affiliation Adds

Affiliation Type

Is the affiliation an existing client record on Global Plus   No   Internal Client #

If new client complete the appropriate information for the owner affiliation: Client Title

	Fist Name	Last Name
Individual Client Name		
Name Extension Field or Corporate Name		
Street #	Street Name	
Address Line 1		
City		State
Zip Code		Country
Name Type		SSN
Date of Birth	*Mandatory for IRA Account*	
Branch		

Identify any other affiliation types this client may be on this account:

Affiliation Adds

Affiliation Type

Is the affiliation an existing client record on Global Plus   No   Internal Client #

If new client complete the appropriate information for the owner affiliation: Client Title

	Fist Name	Last Name
Individual Client Name		
Name Extension Field or Corporate Name		
Street #	Street Name	
Address Line 1		
Mailing Info		
City		State
Zip Code		Country
Name Type		SSN
Date of Birth	*Mandatory for IRA Account*	
Branch		

Identify any other affiliation types this client may be on this account:

Affiliation Adds

Affiliation Type

Is the affiliation an existing client record on Global Plus   Internal Client #

If new client complete the appropriate information for the owner affiliation: Client Title

	Fist Name	Last Name
Individual Client Name	Langer	
Name Extension Field or Corporate Name		
Street #	Street Name	
Address Line 1		
Mailing Info		
City		State
Zip Code		Country
Name Type		SSN SDNY_GM_00037745

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Date of Birth	*Mandatory for IRA Account*
Branch	
Identify any other affiliation types this	
client may be on this account:	

Affiliation Adds

Affiliation Type	
Is the affiliation an existing client record on Global Plus	Internal Client #

If new client complete the appropriate information for the owner affiliation: Client Title

	Fist Name	Last Name
Individual Client Name		
Name Extension Field or Corporate Name		
Street #	Street Name	
Address Line 1		
Mailing Info		
City	State	
Zip Code	Country	
Name Type	SSN	
Date of Birth	*Mandatory for IRA Account*	
Branch		
Identify any other affiliation types this		
client may be on this account:		

Affiliation Adds

Affiliation Type	
Is the affiliation an existing client record on Global Plus	Internal Client #

If new client complete the appropriate information for the owner affiliation: Client Title

	Fist Name	Last Name
Individual Client Name		
Name Extension Field or Corporate Name		
Street #	Street Name	
Address Line 1		
Mailing Info		
City	State	
Zip Code	Country	
Name Type	SSN	
Date of Birth	*Mandatory for IRA Account*	
Branch		
Identify any other affiliation types this		
client may be on this account:		

Affiliation Adds

Affiliation Type	

Is the affiliation an existing client record on Global Plus   Internal Client #

If new client complete the appropriate information for the owner affiliation: Client Title

	First Name	Last Name
Individual Client Name		
Name Extension Field or Corporate Name		
Street #	Street Name	
Address Line 1		
Mailing Info		
City	State	
Zip Code	Country	
Name Type	SSN	
Date of Birth	*Mandatory for IRA Account*	
Branch		

Identify any other affiliation types this
client may be on this account:

REVIEWED
By mahpras at 11:57 am, Apr 10, 2014

Authorization

PRASHANT MAHENDRU		4/10/2014
Name		Date
DHRUV YADAV	**APPROVED**	4/10/2014
Name	**By yadadhr at 9:25 pm, Apr 10, 2014**	Date